

## CAERPHILLY COUNTY BOROUGH COUNCIL

<b>NOTICE OF THE DECISIONS FROM THE AUDIT COMMITTEE MEETING HELD VIA MICROSOFT TEAMS ON TUESDAY 26<sup>TH</sup> JANUARY 2021 AT 2.00 PM</b>
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### PRESENT:

Councillors: M. Adams, E. Aldworth, C. Cuss, J. Gale, C. Mann, B. Miles, T. Parry, M. Sargent (Chair), J. Simmonds and N. Yates – Lay Member (Vice-Chair).

Officers: S. Harris (Head of Financial Services and S151 Officer), R. Edmunds (Corporate Director for Education and Corporate Services) D. Gronow (Acting Internal Audit Manager), A. Southcombe (Finance Manager - Corporate Finance) S. Richards (Head of Education Planning & Finance) R. Roberts (Business Improvement Manager) and E. Sullivan (Senior Committee Services Officer).

G. Jones (Audit Wales), M. Jones (Audit Wales), A. Veal (Audit Wales) and R. Davies (Audit Wales).

### 1. APOLOGIES:

Councillors: J. Bevan, D. Hardacre, G. Simmonds and N. Jenkins (Audit Wales).

The decisions and declarations of interest are set out below. For further details please refer to the relevant report.

ITEM	SUBJECT	DECISION	VOTE
2.	Declarations of Interest	There were no declarations recorded.	
3. & 4.	Audit Committee Minutes – 21 <sup>st</sup> October 20  Special Audit Committee Minutes – 16 <sup>th</sup> November 20	RESOLVED that the minutes be approved as a correct record.	Unanimous
5.	Audit Committee Forward Work Programme	RESOLVED that the number of agenda items be reduced and refocused and the work programme for the next 12 months be discussed in greater detail with this in mind. The forward work programme as presented be noted.	Unanimous
6.	Verbal Update from Audit Wales	RESOLVED that the update be noted.	Unanimous
7.	Caerphilly County Borough Council – Annual Audit Summary 2020	RESOLVED that the Annual Audit Summary be noted.	Unanimous
8.	Audit Wales Report – ‘Raising Our Game’ – Tackling Fraud in Wales	RESOLVED that the report and presentation be noted.	Unanimous
9.	2018/20 National Fraud Initiative Update, Local Outcomes and Plans for the 2021 Exercise	RESOLVED that for the reasons given in the Officers report the recommendations contained there be approved  i) the Audit Wales report ‘The National Fraud Initiative in Wales 2018-21’ be considered and noted.	Unanimous

		<p>ii) the self-appraisal checklist at Appendix 2 and the Caerphilly CBC completed checklist at Appendix 3.</p> <p>iii) the local outcomes from the work undertaken by the Internal Audit Section be noted.</p> <p>iv) the continued participation by Caerphilly CBC in the NFI be noted.</p>	
10.	Update of Corporate Risk Register – December 2020	RESOLVED that the content of the Corporate Risk Register and associated mitigating factors be noted and a recommendation made to Cabinet that the Climate Change Risk be changed to High Risk.	Unanimous
11.	Local Government and Elections (Wales) Bill	RESOLVED that the report be noted.	Unanimous
17.	<p><b><u>INFORMATION ITEM CALLED FORWARD</u></b></p> <p>Annual Review of Complaints received under the Council's Corporate Complaints Policy 1<sup>st</sup> April 2019 to 31<sup>st</sup> March 2020</p>	RESOLVED that the report be noted and the answer to the question submitted by Councillor B. Miles be emailed to the committee following the meeting.	Unanimous

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